



**FORM NO. MT 9
EXTRACT OF ANNUAL RETURN**

As on financial year ended 31.03.2018

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014**

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U6591MH1997PLC253897
2.	Registration Date	01/05/997
3.	Name of the company	Citicor Finance (India) limited
4.	Category/Sub-category of the company	Non-Banking Finance Company(NBFC)
5.	Address of the Registered office & contact details	8th Flor, First International Financial Centre, C-54&C-55, G-Block, Bandra Kurla Complex Bandra (E) Mumbai Maharashtra INDIA 40009.
6.	Whether listed company	Listed(debt listed)
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover
1	Loan against securities	6492	37.42%
2	Corporate Loan	6492	30.59%
3.	Treasury activities	6492	4.49%
4.	Other Loans	6492	26.12%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES-

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Associates Financial Services (Mauritius) LLC IFS Court, Bank Street, Twenty Eight, Cybercity, Ebene 72201, Mauritius	-	Holding	53	2 (46)
2	India Infradebt Limited ICICI Bank Towers Bandra-Kurla Complex Mumbai – 400 098	U65923MH2012PLC23365	Associate	15.06	2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

A. Category-wise Share Holding

Category of Share holders	No. of Shares held at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
	Demat	Physical	Total	Demat	Physical	Total	
A. Promoters (1) Indian (g) Individual							

dual/ HUF h) Centra l Govt i) State Govt (s) j) Bodie s Corp. k) Banks / FI l) Any Other ... Sub- total (A) (1):- (2) Forei gn a) NRIs - Indivi duals b) Other - Indivi duals c) Bodie s Corp. d) Banks / FI e) Any Other Sub-	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0
	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0

total (A) (2):- Total share holding of Promoter (A) = (A)(1) + (A)(2)	3,857,727,031	3,857,727,031	100		3,857,727,031	3,857,727,031	100	0
B. Public Share holding 1. Institutions a) Mutual Funds b) Banks / FI c) Central Govt. d) State Govt. e) Venture Capital Funds f) Insurance Companies g) FIIs	<div style="border: 1px solid black; display: inline-block; padding: 2px 10px;">N.</div>							

h) Foreign Venture Capital Funds i) Others (specify) Sub-total (B)(1) :-								
2. Non-Institutions	<div style="border: 1px solid black; display: inline-block; padding: 2px;">NA</div>							
a) Bodies Corp. i) Indian ii) Overseas b) Individuals i) Individual shareholders holding nominal share capital upto Rs. 1 lakh ii) Individual								

dual shareh olders holdin g nomin al share capital in excess of Rs 1 lakh c) Others (speci fy) Sub- total (B)(2) :- Total Public Share holdin g (B)=(B)(1) + (B)(2)								
					NA			
C. Shares held by Custo dian for GDRs & ADRs								
Grand Total (A+B +C)	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0

B) Shareholding Pattern - Promoter

S N o.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Associates Financial Services (Mauritius) LLC	2,042,338,070	53	-	2,042,338,070	53	-	-
2	Citibank Overseas Investment Corporation	1,815,388,966	47	-	1,815,388,966	47	-	-
3	Citibank Overseas Investment Corporation jointly with Mr. Vivek Gomes	1	0	-	1	0	-	-
4	Citibank Overseas Investment Corporation jointly with Mr. R. Muralidharan	1	0	-	1	0	-	-
5	Citibank Overseas Investment Corporation jointly with Mr. Rohit Ranjan	1	0	-	1	0	-	-
6	Citibank Overseas Investment Corporation jointly with Mr. Viral Damania	1	0	-	1	0	-	-
7	Citibank Overseas Investment Corporation jointly with Mr. Kushagra Sheth	1	0	-	1	0	-	-
	Total	3,857,727,031	100	-	3,857,727,031	100	-	-

C) Change in Promoters' Shareholding (please specify, if there is no change):

S.No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	No change during the year			
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	No change during the year			
	At the end of the year	No change during the year			

**D) Shareholding Pattern - Top ten shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

S. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	NA			
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.)	NA			
	At the end of the year	NA			

E) Shareholding of Directors and Key Managerial Personnel:

S. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	1*	0	1	0

Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	-	-	-	-
At the end of the year	1*	0	1	0

* 1 (One) share held by Citibank Overseas Investment Corporation jointly with Mr. Rohit Ranjan, Director, of the company.

F) INDEBTEDNESS - Indebtedness of the company including interest outstanding/accrued but not due for payment.

Rs. in crore

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	2,711.06	3,992.21	-	6,703.27
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	134.71	18.75	-	153.46
Total (i+ii+iii)	2,845.77	4,010.96	-	6,856.73
Change in Indebtedness during the financial year				
• Addition	6,319.52	25,520.43	-	31,839.95
• Reduction	(5,312.35)	(27431.30)	-	(32,743.65)
Net Change	1,007.17	(1,910.87)	-	(903.70)
Indebtedness at the end of the financial year				
i) Principal Amount	3,745.24	2,095.65	-	5,840.89
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	107.70	4.44	-	112.14
Total (i+ii+iii)	3,852.94	2,100.09	-	5,953.03

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Mr. Rohit Rajan (Jt. Managing Director) April 01, 2017 to March 31, 2018	Ms. Priti Goel (Jt. Managing Director) April 01, 2017 to March 31, 2018	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			

	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	The appointment of Jt. Managing Directors is on secondment basis and prorated, wherever applicable. The details of secondment charges in relation to Mr. Rohit Ranjan and M. Priti Goel are available with the company.
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	
2	Stock Option	
3	Sweat Equity	
4	Commission - as % of profit - others, specify.	
5	Others, please specify	
	Total (A)	
	Ceiling as per the Act	

B. Remuneration paid to other directors

S. No.	Particulars of Remuneration	Mr. Depak Gaisas	Mr. Saurabh Shah	Total Amount
1	Independent Directors			
	Fee for attending board committee meetings	3,6,000	2,52,000	6,12,000
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	3,6,000	2,52,000	6,12,000
2	Other Non-Executive Directors	-	-	-
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	-	-	-
	Total (B)=(1+2)	3,6,000	2,52,000	6,12,000
	Total Managerial Remuneration	3,6,000	2,52,000	6,12,000
	Overall ceiling as per the Act	1,00,000*	1,00,000*	2,00,000*

* As per rule 4 of chapter XIII appointment and remuneration of managerial personnel sitting fee shall not exceed one lakh rupees per meeting of the Board or committee thereof.

C. Remuneration to Key Managerial Personnel other than MD/MANAGER/WTD

S. No.	Particulars of Remuneration	Key Managerial Personnel		
		CS (Sameer Upadhyay)	CFO (Ganesh Ramanathan)	Total
1	Gross salary	The information is available with the company.		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			
	Profits in lieu of salary under section 17(3) Income-tax Act, 1961			
2	Stock Option			
3	Sweat Equity			
4	Commission			
	- as % of profit			
	others, specify...			
5	Others, please specify			
	Total			

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Detail of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty		Please refer Section "Significant and material orders passed by the regulators" of the Director's Report.			
Punishment					
Compounding					
B. DIRECTORS					
Penalty		Please refer Section "Significant and material orders passed by the regulators" of the Director's Report.			
Punishment					



Compounding	
C. OTHER OFFICERS IN DEFAULT	
Penalty	Please refer Section "Significant and material orders passed by the regulators" of the Director's Report.
Punishment	
Compounding	

For Citicorp Finance (India) Limited

Nina Jaggal
Managing Director
DIN-0138918

Priti Goel
Director
DIN-07649929

Date: August 23, 2018
Place: Mumbai