



**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN**

As on financial year ended March 31, 2020

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014**

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U65910MH1997PLC253897
2.	Registration Date	01/05/1997
3.	Name of the company	Citicorp Finance (India) limited
4.	Category/Sub-category of the company	Non-Banking Finance Company(NBFC)
5.	Address of the Registered office & contact details	8th Floor, First International Financial Centre, C-54&C-55, G-Block, Bandra Kurla Complex Bandra (E) Mumbai Maharashtra INDIA 400098
6.	Whether listed company	Listed (debt listed)
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover
1	Loan against securities	6492	37%
2	Corporate Loan	6492	27%
3.	Treasury activities & Other Loans	6492	36%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES-

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Associates Financial Services (Mauritius)LLC IFS Court, Bank Street, Twenty Eight, Cybercity, Ebene 72201, Mauritius	-	Holding	53	2 (46)
2	India Infradebt Limited ICICI Bank Towers Bandra-Kurla Complex Mumbai – 400 098	U65923MH2012PLC237365	Associate	10.02	2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

A. Category-wise Share Holding

Category of Share holders	No. of Shares held at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
	Demat	Physical	Total	Demat	Physical	Total	
A. Promoters (1) Indian Individual							

dual/ HUF h) Centra l Govt i) State Govt (s) j) Bodie s Corp. k) Banks / FI l) Any Other ... Sub- total (A) (1):- (2)	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0
Forei gn a) NRIs - Indivi duals b) Other - Indivi duals c) Bodie s Corp. d) Banks / FI e) Any Other Sub-	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0

total (A) (2):- Total share holding of Promoter (A) = (A)(1) + (A)(2)	3,857,727,031	3,857,727,031	100		3,857,727,031	3,857,727,031	100	0
B. Public Share holding 1. Institutions a) Mutual Funds b) Banks / FI c) Central Govt. d) State Govt. e) Venture Capital Funds f) Insurance Companies g) FIIs	<div style="border: 1px solid black; display: inline-block; padding: 5px;">NA</div>							

h) Foreign Venture Capital Funds i) Others (speci fy) Sub- total (B)(1) :- 2. Non- Instit utions a) Bodie s Corp. i) Indian ii) Overs eas b)Indi vidual s i) Indivi dual shareh olders holdin g nomin al share capital upto Rs. 1 lakh ii) Indivi							
	NA						

dual share holders holding nominal share capital in excess of Rs 1 lakh (c) Others (specify) Sub-total (B)(2) :- Total Public Share holding (B)=(B)(1) + (B)(2)					<div style="border: 1px solid black; padding: 2px; display: inline-block;">NA</div>			
C. Shares held by Custodian for GDRs & ADRs								
Grand Total (A+B+C)	3,857,727,031	3,857,727,031	100	0	3,857,727,031	3,857,727,031	100	0

B) Shareholding Pattern - Promoter

S N o.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Associates Financial Services (Mauritius) LLC	2,042,338,070	53	-	2,042,338,070	53	-	-
2	Citibank Overseas Investment Corporation	1,815,388,956	47	-	1,815,388,956	47	-	-
3	Citibank Overseas Investment Corporation jointly with Mr. Vivek Gomes	1	0	-	1	0	-	-
4	Citibank Overseas Investment Corporation jointly with Mr. R. Muralidharan	1	0	-	1	0	-	-
5	Citibank Overseas Investment Corporation jointly with Mr. Rohit Ranjan	1	0	-	1	0	-	-
6	Citibank Overseas Investment Corporation jointly with Mr. Viral Damania	1	0	-	1	0	-	-
7	Citibank Overseas Investment Corporation jointly with Mr. Kushagra Sheth	1	0	-	1	0	-	-
	Total	3,857,727,031	100	-	3,857,727,031	100	-	-

C) Change in Promoters' Shareholding (please specify, if there is no change):

S.No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	No change during the year			
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	No change during the year			
	At the end of the year	No change during the year			

**D) Shareholding Pattern - Top ten shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

S. No	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	NA			
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.)	NA			
	At the end of the year	NA			

E) Shareholding of Directors and Key Managerial Personnel:

S. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	1*	0	1	0

	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	1*	0	1	0

* 1 (One) share held by Citibank Overseas Investment Corporation jointly with Mr. Rohit Ranjan, Director, of the company.

F) INDEBTEDNESS - Indebtedness of the company including interest outstanding/accrued but not due for payment.

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	491,625	256,620	0	748,245
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	17,054	1,807	0	18,861
Total (i+ii+iii)	508,679	258,427	0	767,106
Change in Indebtedness during the financial year				
• Addition	447,851	1,432,058	0	1,879,909
• Reduction	485,088	1,484,237	0	1,969,325
Net Change	-37,237	-52,179	0	-89,416
Indebtedness at the end of the financial year				
i) Principal Amount	454,101	204,800	0	658,901
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	17,341	1,448	0	18,789
Total (i+ii+iii)	471,442	206,248	0	677,690

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Ms. Nina Nagpal (Managing Director)	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		

	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	The details of remuneration of Ms. Nina Nagpal, Managing Director is available with the company.
2	Stock Option	
3	Sweat Equity	
4	Commission - as % of profit - others, specify.	
5	Others, please specify	
	Total (A)	
	Ceiling as per the Act	

B. Remuneration paid to other directors

S. No.	Particulars of Remuneration	Mr. Deepak Ghaisas	Mr. Saurabh Shah	Total Amount
1	Independent Directors			
	Fee for attending board committee meetings	4,32,000	2,88,000	7,20,000
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	4,32,000	2,88,000	7,20,000
2	Other Non-Executive Directors	-	-	-
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	-	-	-
	Total (B)=(1+2)	4,32,000	2,88,000	7,20,000
	Total Managerial Remuneration			
	Overall ceiling as per the Act	1,00,000 *	1,00,000*	2,00,000*

* As per rule 4 of chapter XIII appointment and remuneration of managerial personnel sitting fee shall not exceed one lakh rupees per meeting of the Board or committee thereof.

C. Remuneration to Key Managerial Personnel other than MD/MANAGER/WTD

S. No.	Particulars of Remuneration	Key Managerial Personnel	
		Ms. Nina Nagpal, Managing Director Ms. Sameer Upadhyay, Company Secretary Ms. Manisha Inamdar, Chief Financial Officer	Total
1	Gross salary	The information is available with the company.	
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		
	Profits in lieu of salary under section 17(3) Income-tax Act, 1961		
2	Stock Option		
3	Sweat Equity		
4	Commission		
	- as % of profit		
	others, specify...		
5	Others, please specify		
	Total		

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	Please refer Section “Significant and material orders passed by the regulators” of the Director’s Report.				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	Please refer Section “Significant and material orders passed by the regulators” of the Director’s Report.				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	Please refer Section “Significant and material orders passed by the regulators” of the Director’s Report.				
Punishment					
Compounding					

For Citicorp Finance (India) Limited

Date: June 29, 2020
Place: Mumbai

Sd/-
Nina Nagpal
Managing Director
DIN-00138918

Sd/-
Rohit Ranjan
Director
DIN-00003480