



## **CITICORP FINANCE (INDIA) LIMITED**

### Details of Programmes attended by the Independent Directors

**OWNER:** SECRETARIAL TEAM

**CONTACT(s):**  
SAMEER UPADHYAY  
SAMEER.UPADHYAY@CITI.COM



## **Background**

Pursuant to Regulation 62 (IA) (i) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Regulations”) details of familiarization programs imparted to Independent Directors shall include the following details:-

- (a) number of programs attended by the independent directors (during the year and on a cumulative basis till date\*),
- (b) number of hours spent by the independent directors in such programs (during the year and on cumulative basis till date\*), and
- (c) other relevant details

Further, the same need to be disclosed in the website of the Company.

Details of Programs / presentation made to and attended by the Independent Directors from April 2021 to till date (i.e. March 31, 2024) are given in the table below:

<b>Familiarization on Statutory Updates</b>						
<b>SL No.</b>	<b>Meeting date</b>	<b>Presented by</b>	<b>Subject</b>	<b>Time spent</b>	<b>Directors Attendance</b>	
					<b>Deepak Ghaisas</b>	<b>Saurabh Shah</b>
1	June 25, 2021	Sameer Upadhyay- Company Secretary	Highlights of the Companies Act, 2013	10 minutes	Yes	Yes
2	June 25, 2021	Sameer Upadhyay- Company Secretary	Relaxations under Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulation 2015 due to COVID-19	05 minutes	Yes	Yes
3	June 25, 2021	Param Sawlani- Compliance officer as per RBI Regulation	Highlights of amendments in RBI Regulation	10 minutes	Yes	Yes
4	June 25, 2021	Param Sawlani- Compliance officer as per RBI Regulation	CFIL Code of Conduct – SEBI (Prohibition of Insider Trading) Regulations, 2015 Standard	10 minutes	Yes	Yes
5	September 22, 2021	Sameer Upadhyay- Company Secretary	Update on amendments of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulation	20 minutes	Yes	Yes



			2015 introduced on Sept 7, 2021			
6	September 22, 2021	Param Sawlani- Compliance officer as per RBI Regulation	Highlights of amendments in RBI Regulation	10 minutes	Yes	Yes
7	November 11, 2021	Sameer Upadhyay- Company Secretary	Update on amendments of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulation 2015 introduced on Sept 7, 2021	15 minutes	Yes	Yes
8	November 11, 2021	Param Sawlani- Compliance officer as per RBI Regulation	Highlights of amendments in RBI Regulation	10 minutes	Yes	Yes
9	February 11, 2022	Sameer Upadhyay- Company Secretary	Update on amendments of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulation 2015 introduced on Sept 7, 2021	15 minutes	Yes	Yes
10	February 11, 2022	Param Sawlani- Compliance officer as per RBI Regulation	Scale Based Regulation (SBR): A Revised Regulatory Framework for NBFCs	10 minutes	Yes	Yes
11	Monthly Updates	International Franchise Management	Various updates on the Citi group globally including financial performance	3.00 hours	Yes	Yes
12	May 30, 2022	Chief Compliance Officer	Scale Based Regulation and RBI Circular on Compliance Function and Role of Chief Compliance Officer (CCO) – NBFCs	10 minutes	Yes	Yes



13	Feb 10, 2023	Chief Compliance Officer and Head - HR	India Guidelines on Exit reporting of Senior Management Personnel (SMP)	10 minutes	Yes	Yes
14	Feb 10, 2023	Sameer Upadhyay	SEBI LODR Amendments	10 minutes	Yes	Yes
15	May 29, 2023	Shikha Didwania	Asset Liability management (ALM) procedure: Liquidity Coverage Ratio & Structural Liquidity	30 minutes	Yes	Yes
16	August 11, 2023	Rajiv Soni	RBI Master Directions on Outsourcing of IT services	10 minutes	Yes	Yes
17	November 9, 2023	Swati Vatsa	Risk Management Framework	10 minutes	Yes	Yes

*\*As the SEBI Regulation (fifth amendment) was introduced and applicable w.e.f. September 07, 2021 we have considered the programs conducted and attended by Independent Directors since April 2021.*